

National Park Authority

Audit Committee Meeting

Agenda Item 6



2015/16 Internal Audit Plan

Paper for decision

1. Purpose

The purpose of this paper is to present the proposed internal audit plan for 2015/16 for approval by members.

2. Background

The strategic internal audit plan for 2015/16 is attached at Appendix 1. This was discussed at the June 2014 committee meeting and presented to members at the November 2014 committee meeting.

The strategic plan for 2015/16 was to audit the following areas:

- Commercial developments
- Records management
- Workforce management and appraisals
- Complaints handling
- New board members – roles and inductions

3. Recommended Audit Plan for 2015/16

Following discussion with officers and KPMG the following, slightly revised, audit plan is recommended for 2015/16:

- Commercial development and income generation
- Records management (follow up only as more work done than expected 2014/15)
- Workforce management and appraisals
- Complaints handling
- New board members – roles and inductions
- Targeted controls risk self-assessment
- Project management (follow up on high risk identified 2014/15)

The draft annual internal audit plan for 2015/16 is attached at Appendix 2.

4. Recommendation

4.1 Members are requested to agree the plan and to delegate authority to make any further changes required to the Financial Performance Manager and the Director of Corporate Services.

Author: Catriona Morton, Financial Performance Manager
Executive Sponsor: Jaki Carnegie, Director Corporate Services