

# National Park Authority Audit Committee Meeting

Agenda Item 10



## Draft Internal Audit Plan 2017/18

---

### *Paper for decision*

#### 1. Purpose

The purpose of this paper is to agree on the Internal Audit work that should be planned for completion in 2017/18.

#### 2. Recommendation

Members are asked to review the audits suggested in the paper and confirm approval and / or provide additional suggestions.

#### 3. Context

3.1 The Internal Audit Plan for 2016-2019 was approved at the September and December 2016 Audit Committees. This contained and outline of the plan for the 3 years with more detail on the audits planned for 2016/17. It is now a suitable point to review the outline plan and agree the audit work that should take place in 2017/18.

#### 4. Proposed Internal Audit Plan for 2017/18

4.1 The outline plan for 2016-2019 identified that 37 days would be provided in 2017/18 to cover the following areas:

Audit Area	Days	Comment
ICT General Review	4	There have been two internal audits undertaken in 2016/17; IT Healthcheck and Disaster Recovery/Business Continuity. Both reports were positive which might indicate a further ICT General Review being unnecessary.
Vehicle Fleet	6	This would be reasonable following the completion of the Asset Management Plan, which is included in the Annual Operational Plan
Energy Management / Facilities Management	6	
Freedom of Information / Environmental Information Regulations	6	Given the volume of requests under this legislation it would be valuable for this audit to proceed.
Fixed Penalty Notices / Byelaws	6	

# National Park Authority

## Audit Committee Meeting

Agenda Item 10

### Draft Internal Audit Plan 2017/18

---

Follow up on previous recommendations	2	
Planning / Meetings / Review	7	

- 4.2 Other areas that could be added to this list are:
- Procurement Banking
  - Banking
  - Controls Risk Self-Assessment (previously an annual review)
- 4.3 It would be helpful to discuss the above areas and reach agreement on the Internal Audit plan for 2017/18.

**Author:** Catriona Morton, Financial Performance Manager  
**Executive Sponsor:** Jaki Carnegie, Director Corporate Services