

# National Park Authority

## Audit & Risk Committee Meeting

Agenda Item 5



### Control Risk Self-Assessment including GDPR

---

#### *Paper for information*

1. Purpose

The purpose of this paper is to provide an update on the recent internal audit undertaken by our Internal Auditors, West Dunbartonshire Council, on Control Risk Self-Assessment including GDPR.

2. Recommendation

Members are asked to note this report.

3. Internal Audit Plan

The Internal Audit Plan for 2019/20 contains six planned audits, which included GDPR and Control Risk Self-Assessment (CRSA). These audits were rolled together under this CRSA. Two internal audits were completed in the first quarter and the remaining two audits are planned for Quarter 4 of 2019/20.

4. Contribution to Our 5 Year Plan

This contributes towards the delivery of Our 5 Year Plan by ensuring that we improve the processes we use to achieve our aims and objectives following robust and regular reviews by Internal Audit.

5. Control Risk Self-Assessment

There are two findings arising from the audit on the CRSA, including GDPR. There were no GDPR findings and internal audit found that it was clear that the GDPR has been well established and that the Authority are compliant with the regulations.

The two CRSA findings related to procedures, with recommendations to create an external theft procedure, and to do a minor update to the bomb threat procedures. These were both rated as low risk and the procedures will be completed and updated by the end of the financial year.

**Author:** Eilidh McKerry, Finance Manager

**Executive Sponsor:** Pete Wightman, Director of Corporate Services