

Matters Arising

Agenda Item 4

National Park Authority Audit and Risk Committee

Tuesday 22nd June 2021

Paper for noting

The following actions were noted at the previous meeting on 9th March 2021.

Item No	Action	Current status	Open / closed
4	Matters Arising It was agreed that RE/PW/EMcK would schedule in training around the annual accounts and will arrange to bring something forward. RE/PW/EMcK to meet initially to discuss.	RE/PW/EMcK met 17/05/2021. Discussion with ARC members to be held ahead of 22/06/2021 meeting.	Closed
5	Draft Internal Audit Plan 2021/22 CS/JC agreed to have a separate discussion in relation to reputation management prior to Internal Audit starting the review.	Members met with Internal Audit on 13 th May.	Closed
5	Draft Internal Audit Plan 2021/22 It was noted that our reputation around climate change and nature work is important and it would be good to capture in this review.	To be captured as part of internal audit in Q4.	Open
6	Internal Audit Follow-up Report CS suggested changing the title of the Independent Assurance Review of the Environmental Monitoring Framework.	Wording will be updated when follow up items next presented to Committee in September 2021	Open

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6	<p>Internal Audit Follow-up Report</p> <p>It was agreed that the implementation date for the External Audit Recommendation – medium term planning - should be updated from March 2021 to Ongoing.</p>	Wording will be updated when follow up items next presented to Committee in September 2021	Open
9	<p>Policy Review Plan 2021/22</p> <p>Management agreed to look at having a similar process in place as the Policy Review Plan to track progress in non-corporate areas such as climate change and biodiversity.</p>	Ongoing	Open
Actions from September 2020 meeting			
6	<p>Draft Accounts for the Financial Year ended 31st March</p> <p>The table on page 12 does not report membership attendance of the Delivery Group. EMcK noted that the Delivery Group is not a statutory Committee but agreed to look to see if there is an appetite to do this if members would like to see this included.</p>	Draft annual accounts only include attendance in statutory committees. Propose to clarify Committee Structure in 20/21 accounts and clearly distinguish between statutory and non-statutory committees. Plan is to report attendance only on statutory committees, unless members wish to report attendance	Will be closed in Sep 21 on approval of accounts
6	<p>Draft Accounts for the Financial Year ended 31st March</p> <p>In relation to Equality and Diversity, there was a request that this is reviewed as diversity has more aspect to it other than age and gender.</p>	Updated and link to Equalities Mainstreaming Report to be included in final accounts	Will be closed in Sep 21 on approval of accounts
11	<p>Audit and Risk Committee Self-Assessment</p> <p>a) Requirements for Finance Training to be assessed</p>	Superseded by update on training in item 4 above.	Closed

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	<p>after the new membership of Committee is determined.</p> <p>b) RE/PW will look at an assurance based approach for future Committee papers.</p>	<p>RE/PW/EM discussed assurance-based approach and it has been agreed not to progress at present.</p>	
13	<p>Outstanding Actions Update</p> <p>New Risk Policy to be considered at a future meeting.</p>	<p>The work on a new Risk Policy was put on hold due to resource reprioritisation in light of COVID-19. We currently aim to bring this to a Committee meeting in 2021.</p>	Open

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