

Matters Arising

Agenda Item 9

National Park Authority Audit and Risk Committee

Tuesday 8th March 2022

Paper for noting

The following actions were noted at the previous meeting on 7th December 2021.

Item No	Action	Current status	Open / closed
6	<p>Internal Audit Assurance Reports</p> <p><u>Planning Application Management</u></p> <p>Internal Audit to provide a further report on the implementation of the actions contained in the Action Plan.</p>	Report provided as part of the regular updates to each ARC meeting.	Closed
7	<p>Internal Audit Assurance Reports</p> <p><u>Health and Safety</u></p> <p>Internal Audit to provide a further report on the implementation of the actions contained in the Action Plan.</p>	Report provided as part of the regular updates to each ARC meeting.	Closed
7	<p>Internal Audit Assurance Reports</p> <p><u>Health and Safety</u></p> <p>Management to consider whether to initiate an external health and safety audit to review compliance with health and safety legislation/regulations.</p>	Ongoing	Open

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7	<p>Internal Audit Assurance Reports <u>Health and Safety</u> In relation to recommendation one regarding mandatory training, it was requested that the wording of this is amended to reflect that mandatory training will take place and will be recorded.</p>	<p>Internal Audit asked to update Health and Safety Recommendation One.</p>	<p>Closed</p>
7	<p>Internal Audit Assurance Reports <u>Health and Safety</u> In relation to Risk Assessments, Audit and Risk Committee members to receive a copy of the Action Plan by email before the end of the month to validate that plans are in place.</p>	<p>Action plan was emailed to Committee members prior to Christmas break 2021</p>	<p>Closed</p>
8	<p>Outstanding Actions Update Outstanding recommendation one to be amended to show more detail regarding the revising of the Local Access Forum documentation.</p>	<p>Further detail provided to Internal Audit to be reflected in the Follow Up Report</p>	<p>Closed</p>
9	<p>Risk Management Framework It was requested that in the section 'Executive Team review of risk which discusses quarterly at minimum', comment to be added in which states 'live and ongoing' and 'structured quarterly review'.</p>	<p>Document updated to reflect requested change.</p>	<p>Closed</p>
9	<p>Risk Management Framework Agreed that the Vice-Chair should be added for escalation should Chair not be available.</p>	<p>Document updated to reflect requested change.</p>	<p>Closed</p>

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9	Risk Management Framework Section 5.2 (page 41) – slight wording change – ‘objectivity’ should be ‘subjectivity’.	Document updated to reflect requested change.	Closed
9	Risk Management Framework Risk Management Framework to be presented to the Board for final review and approval including a workshop on risk appetite.	Document to be presented to the Board in March 2022 – date for workshop under discussion.	Open
Actions from December 2021 meeting			
6	Draft Accounts for the Financial Year ended 31st March HR drew attention to the Authority’s gender split under staff composition and requested that the ‘other employees’ is broken down in to operational managers to have some female representation and separate out the ‘male’ / ‘female’ split. EMcK agreed to look in to this	To be considered in preparation of the 2021/22 Annual Accounts (spring 2022)	Open
Actions from June 2021 meeting			
5	Draft Internal Audit Plan 2021/22 It was noted that our reputation around climate change and nature work is important and it would be good to capture in this review.	To be captured as part of internal audit in Q4.	Open
9	Policy Review Plan 2021/22 Management agreed to look at having a similar process in place as the Policy Review Plan to track progress in non-corporate areas such as climate change and biodiversity.	Ongoing	Open

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